

SUNTRUST INVESTMENT SERVICES, INC.
P.O. BOX 596
RICHMOND, VA 23286

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2019

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	93.48%
Limit orders as percentage of total non-directed orders	4.92%
Other orders as percentage of total non-directed orders	1.61%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	54.31%
2. VIRTU Americas LLC	26.92%
3. G1 Execution Services, LLC	11.31%
4. Two Sigma Securities, LLC	2.34%
5. UBS Securities, LLC	2.06%
6. New York Stock Exchange	1.47%
7. NASDAQ Execution Services, LLC	0.54%
8. National Financial Services LLC	0.44%
9. Cboe EDGX U.S. Equities Exchange	0.33%
10. SunTrust Investment Services, Inc.	0.17%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	55.72%
Limit orders as percentage of total non-directed orders	27.52%
Other orders as percentage of total non-directed orders	54.23%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	27.33%
Limit orders as percentage of total non-directed orders	16.94%
Other orders as percentage of total non-directed orders	33.43%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	11.86%
Limit orders as percentage of total non-directed orders	4.51%
Other orders as percentage of total non-directed orders	0.15%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.36%
Limit orders as percentage of total non-directed orders	1.12%
Other orders as percentage of total non-directed orders	4.90%

5. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.05%
Limit orders as percentage of total non-directed orders	1.21%
Other orders as percentage of total non-directed orders	5.65%

6. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	29.66%
Other orders as percentage of total non-directed orders	0.59%

7. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	10.87%
Other orders as percentage of total non-directed orders	0.15%

8. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.46%
Limit orders as percentage of total non-directed orders	0.15%
Other orders as percentage of total non-directed orders	0.15%

9. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	6.65%
Other orders as percentage of total non-directed orders	0.15%

10. SunTrust Investment Services, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.19%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	93.58%
Limit orders as percentage of total non-directed orders	4.41%
Other orders as percentage of total non-directed orders	2.01%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	54.96%
2. VIRTU Americas LLC	26.58%
3. G1 Execution Services, LLC	11.08%
4. NASDAQ Execution Services, LLC	2.17%
5. Two Sigma Securities, LLC	2.15%
6. UBS Securities, LLC	1.87%
7. Cboe EDGX U.S. Equities Exchange	0.64%
8. National Financial Services LLC	0.30%
9. SunTrust Investment Services, Inc.	0.15%
10. State Street Global Markets, LLC	0.04%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	56.21%
Limit orders as percentage of total non-directed orders	19.52%
Other orders as percentage of total non-directed orders	74.76%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	27.50%
Limit orders as percentage of total non-directed orders	11.50%
Other orders as percentage of total non-directed orders	17.14%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	11.72%
Limit orders as percentage of total non-directed orders	2.49%
Other orders as percentage of total non-directed orders	0.24%

4. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	49.02%
Other orders as percentage of total non-directed orders	0.24%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.25%
Limit orders as percentage of total non-directed orders	0.98%
Other orders as percentage of total non-directed orders	0.00%

6. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.83%
Limit orders as percentage of total non-directed orders	0.87%
Other orders as percentage of total non-directed orders	6.19%

7. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	14.53%
Other orders as percentage of total non-directed orders	0.00%

8. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.31%
Limit orders as percentage of total non-directed orders	0.11%
Other orders as percentage of total non-directed orders	0.24%

9. SunTrust Investment Services, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.16%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

10. State Street Global Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.04%
Limit orders as percentage of total non-directed orders	0.11%
Other orders as percentage of total non-directed orders	0.00%

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	96.46%
Limit orders as percentage of total non-directed orders	2.59%
Other orders as percentage of total non-directed orders	0.95%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	56.09%
2. VIRTU Americas LLC	26.83%
3. G1 Execution Services, LLC	11.05%
4. Two Sigma Securities, LLC	2.17%
5. UBS Securities, LLC	1.88%
6. NYSE Arca	0.72%
7. Cboe EDGX U.S. Equities Exchange	0.49%
8. NYSE American	0.25%
9. SunTrust Investment Services, Inc.	0.20%
10. NASDAQ Execution Services, LLC	0.19%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	56.95%
Limit orders as percentage of total non-directed orders	20.77%
Other orders as percentage of total non-directed orders	65.38%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	27.30%
Limit orders as percentage of total non-directed orders	8.67%
Other orders as percentage of total non-directed orders	28.02%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	11.38%
Limit orders as percentage of total non-directed orders	2.82%
Other orders as percentage of total non-directed orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.19%
Limit orders as percentage of total non-directed orders	1.01%
Other orders as percentage of total non-directed orders	2.75%

5. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.88%
Limit orders as percentage of total non-directed orders	1.81%
Other orders as percentage of total non-directed orders	1.65%

6. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	27.82%
Other orders as percentage of total non-directed orders	0.00%

7. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	18.75%
Other orders as percentage of total non-directed orders	0.55%

8. NYSE American

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	9.68%
Other orders as percentage of total non-directed orders	0.00%

9. SunTrust Investment Services, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.21%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

10. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	7.26%
Other orders as percentage of total non-directed orders	0.00%

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	73.50%
Limit orders as percentage of total non-directed orders	20.82%
Other orders as percentage of total non-directed orders	5.68%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Cboe Options Exchange	43.04%
2. NASDAQ PHLX Options	20.70%
3. The MIAX Options Exchange	5.72%
4. BOX Option Exchange	5.39%
5. NASDAQ Options Market	4.57%
6. NYSE American Options	4.49%
7. Cboe EDGX Option Exchange	3.47%
8. ISE Gemini Options Exchange	2.94%
9. NYSE Arca Options	2.90%
10. Cboe BZX Options Exchange, Inc.	2.49%

Information Concerning Significant Venues :

1. Cboe Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	46.72%
Limit orders as percentage of total non-directed orders	18.24%
Other orders as percentage of total non-directed orders	86.33%

2. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	24.28%
Limit orders as percentage of total non-directed orders	10.78%
Other orders as percentage of total non-directed orders	10.79%

3. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	6.00%
Limit orders as percentage of total non-directed orders	6.27%
Other orders as percentage of total non-directed orders	0.00%

4. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	6.44%
Limit orders as percentage of total non-directed orders	3.14%
Other orders as percentage of total non-directed orders	0.00%

5. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.00%
Limit orders as percentage of total non-directed orders	18.43%
Other orders as percentage of total non-directed orders	0.00%

6. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	5.39%
Limit orders as percentage of total non-directed orders	2.35%
Other orders as percentage of total non-directed orders	0.72%

7. Cboe EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.72%
Limit orders as percentage of total non-directed orders	10.39%
Other orders as percentage of total non-directed orders	0.72%

8. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.39%
Limit orders as percentage of total non-directed orders	9.22%
Other orders as percentage of total non-directed orders	0.00%

9. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.22%
Limit orders as percentage of total non-directed orders	9.22%
Other orders as percentage of total non-directed orders	1.44%

10. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.72%
Limit orders as percentage of total non-directed orders	5.88%
Other orders as percentage of total non-directed orders	0.00%